

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Dox 1450 Alexandria, Virginia 22313-1450 www.usplu.guv

APPLICATION NUN & TRACE LING OR 371 (c) DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

10/813,429

03/31/2004

Masahiro Abe

58799-109

CONFIRMATION NO. 3795

MCDERMOTT, WILL & EMERY 600 13th Street, N.W.

Washington, DC 20005-3096

FORMALITIES LETTER

OC00000012938500

Date Mailed: 06/14/2004

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/06/2004 SMINASSI 00000080 500417 10813429

FILED UNDER 37 CFR 1.53(b)

01 FC:1001 02 FC:1051 770.00 DA 130.00 DA

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 770 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is \$900 for a Large Entity

- \$770 Statutory basic filing fee.
- \$130 Late oath or declaration Surcharge.

Replies should be mailed to:

Mail Stop Missing Parts

Commissioner for Patents

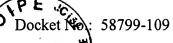
P.O. Box 1450

Alexandria VA 22313-1450

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In reasonication of

Customer Number: 20277

Masahiro ABE, et al.

Confirmation Number: 3795

Serial No.: 10/813,429

Group Art Unit: 3621

Filed: March 31, 2004

Examiner: Not yet assigned

For:

SYSTEM FOR CONTROLLING A WORKFLOW

RESPONSE TO NOTICE OF MISSING PARTS OF APPLICATION

Mail Stop Missing Parts' Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the Notice of Missing Parts of Application dated June 14, 2004, submitted herewith are the following documents for filing in the above-referenced application:

- 1. Declaration and Power of Attorney (which is in compliance with 37 CFR 1.63)
- 2. Statutory Basic filing fee of \$770.00
- 3. Late Filing Fee Surcharge of \$130.00
- 4. Assignment and Recordation Fee of \$40.00 (on separate postcard)

In accordance with 37 CFR 1.48(f)(1) the executed Declaration herewith provides the correct inventorship which includes the following inventors:

- a) Atsushi HASEGAWA; and
- b) Teruhisa ISHIKAWA.

Please charge Deposit Account No. 500417 in the amount of \$900.00. To the extent necessary, Applicants petition for an extension of time under 37 C.F.R. 1.136.

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

It is requested that the updated official filing receipt now be issued.

Respectfully submitted,

MCDERMOTT WILL & EMERY LLP

Keith E. George

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Date: August 5, 2004